

## **Exhibit 3A**

## Exhibit 3A - Summary Schedule of Cash and Principal Activity in Shapiro Account 1S0337

	Shapiro Account <sup>[a]</sup>
	1S0337
<b>INFLOWS</b>	
Cash Deposits	\$1,200,000
Transfers of Principal (In)	0 <sup>[b]</sup>
<b>Principal Available</b>	<b>\$1,200,000</b>
<b>OUTFLOWS</b>	
Cash Withdrawals	(\$3,654,829)
Transfers of Principal (Out)	0
<b>Total Outflows</b>	<b>(\$3,654,829)</b>
<b>Total</b>	<b>(\$2,454,829)</b>

[a] BLMIS Accounts 101208 and 1S0070 impacted the Principal Balance Calculation for Shapiro Account 1S0337. (See Exhibits 4A-4C).

[b] Shapiro Account 1S0337 received one inter-account transfer from BLMIS Account 1S0070 in the amount of \$1,873,440, as reflected on the Customer Statements. However, as a result of the negative principal balance in BLMIS Account 1S0070 at the time of this inter-account transfer, no principal was credited to Shapiro Account 1S0337. (See Exhibits 4B and 4C).